

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

NIT-302

APPLICANT

M. SHIRAI et al

FILING DATE

8/31/01

GROUP

LIST OF DOCUMENTS CITED BY APPLICANT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

| * EXAMINER INITIAL | DOCUMENT | DATE | NAME | CLASS | SUBCLASS | FILING DATE (If Appropriate) |
|-----------------------|----------|------|------|-------|----------|---------------------------------|
| AA | | | | | | |
| AB | | | | | | |
| AC | | | | | | |
| AD | | | | | | |
| AE | | | | | | |
| AF | | | | | | |
| AG | | | | | | |
| AH | | | | | | |
| AI | | | | | | |
| AJ | | | | | | |
| AK | | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------|----------|-----------|---------|-------|----------|-------------------------------------|--------------------------|
| | | | | | | YES | NO |
| <i>Shirai</i> | AL | 04-072783 | 3/6/92 | Japan | | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | AM | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AN | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AO | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AP | | | | | <input type="checkbox"/> | <input type="checkbox"/> |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|---------------|----|--|
| <i>Shirai</i> | AR | K. Kudo et al, "1.55 um Wavelength-Selectable Microarray DFB-LD's with Monolithically Integrated MMI Combiner, SOA, and EA-Modulator," |
| | | IEEE Phototonics Technology Letters, Vol. 12, No. 3, p. 242. |
| | AS | |
| | AT | |

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.